

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant:

GOLDSTEIN et al.

Docket:

12808.7USI1

Title:

OPTICAL DEVICE

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL649974998US

Date of Deposit: October 30, 2000

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Name: Chatia Lambert

#### **BOX PATENT APPLICATION**

**Assistant Commissioner for Patents** Washington, D.C. 20231

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.

CIP Patent Application: Spec. 41 pgs; 21 claims; Abstract 1 pg.:

∑ 20 sheets of formal drawings
 ∑ Small entity status will be established at a later date

An unsigned Combined Declaration and Power of Attorney

Other: Preliminary Amendment

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PAYMENT OF THE FILING FEE IS BEING DEFERRED.

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PATENT TRADEMARK OFFICE

S/N Unknown PATENT

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Applicant:

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Examiner:

Unknown

Serial No.:

Unknown

Group Art Unit:

Unknown

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Assistant Commissioner for Patents, Washington, D.C. 20231

Name: Chatia Lambert

## PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D. C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment:

#### IN THE SPECIFICATION

On page one of the specification, after the title and before the first paragraph, please insert the following language:

--This application is a Continuation-in-Part of application number 09/257,850, filed 25 February 1999.--

Applicants respectfully request that the preliminary amendment described herein be entered into the record prior to calculation of the filing fee and prior to examination and consideration of the above-identified application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Gregory A. Sebald (Reg. No. 33,280), at (612) 336.4728.

Respectfully submitted,

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> Gregory A. Sebald Reg. No. 33,280

Dated: October 30, 2000

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## OPTICAL DEVICE

# Michael D. GOLDSTEIN, Avi YARON and Shay GHILAI

## FIELD OF THE INVENTION

The present invention relates to endoscopes, microscopes and boroscopes, in general and to stereoscopic image pick up devices with color imaging capability, in particular.

## **BACKGROUND OF THE INVENTION**

Stereoscopic image detection devices are known in the art. Such devices are required to obtain and provide a combination of small cross section and high image quality. It will be appreciated by those skilled in the art that high image quality, in general, is characterized by stereoscopic vision accuracy, color capabilities, high resolution and illumination requirements.

It is noted that conventional methods, which provide stereoscopic images, require a wider optical path than a monocular one. Such a widened optical path enlarges the cross-section required for the detection device considerably. Hence, the requirement for a small cross section is not maintained.

US Patent No. 5,527,263 to Jurgen, is directed to a dual optical path stereo endoscope with simple optical adjustment. US Patent No. 5,776,049 to Takahashi, is directed to a "Stereo Endoscope in Stereo Endoscope Imaging Apparatus" and provides a device which utilizes a combination of two optical paths with two CCD units, capable of variable zoom.

Auto-stereoscopic devices, which utilize one optical system to provide a stereo effect, are also known in the art. Such a device is provided in US patent No. 5,603,687 to Hori, which is directed to a device with two parallel optical axes and two CCD elements. Hori selected an

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asymmetrical approach, wherein one optical channel has a large aperture for light and details, and the other optical channel provides a parallax image for stereoscopic imagery to the proximal CCD.

US patent No. 5,613,936 to Czarnek, is directed to a stereoscopic endoscope device which utilizes light polarization and time multiplexing, in order to transmit each different polarized image corresponding to left and right images multiplexed in time, through one optical channel that transfers images from the lateral side of the endoscope shaft. This endoscope has to be inserted deeper into the human cavity to receive a stereo image. It must also be used with a head mounted display device called "switched shutter glasses" that causes eye irritation. It is noted that according to Czarnek each image is received in 25% of original quality. As much as 50% of the light received from the object, is lost due to polarization considerations and as much as 50% of the remaining information is lost due to channel switching.

US patent No. 5,588,948, to Susumu, is directed to a stereoscopic endoscope. The stereo effect is produced by having a dividing pupil shutter, which splits the optical path onto the left and right sides, and the up and down sides. These sides are alternately projected on a proximal image pick up device, using time multiplexing. According to another aspect of this reference a distal CCD is included, which is divided to left and right sides with a shading member separating them, for achieving space multiplexing.

U.S. patent No. 5,743,847 to Nakamura et al., is directed to a "Stereoscopic Endoscope Having Image Transmitting Optical-System and Pupil Dividing Unit that are Axially Movable With Respect to Each Other", which uses a plural pupil dividing means and one optical channel. U.S. patent No. 5,751,341 to Chaleki et al, is directed to a "Stereoscopic Endoscope System", which is basically a two channel endoscope, with one

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or two proximal image sensors. A rigid sheath with an angled distal tip could be attached to its edge and be rotated, for full view.

U.S. Patent No. 5,800,341 to Mckenna et al., is directed to an "Electronically Steerable Endoscope", which provides different fields of view, without having to move the endoscope, using a plurality of CCD cells and processing means. U.S. Patent No. 5,825,534 to Strahle, is directed to a "Stereo Endoscope having a Folded Sideline Sight Line" including stereo-endoscope optical channel, having a sight line folded relative to tube axis.

U.S. Patent No. 5,828,487 to Greening et al., is directed to a "Stereoscopic Viewing System Using a Two Dimensional Lens System" which in general, provides an alternative R-L switching system. This system uses a laterally moving opaque leaf, between the endoscope and the camera, thus using one imaging system. US patent No. 5,594,497 to Ahern, describes a distal color CCD, for monocular view in an elongated tube.

The above descriptions provide examples of auto-stereoscopic inventions, using different switching techniques (Time division multiplexing) and polarization of channels or pupil divisions (spatial multiplexing), all in an elongated shaft. When color image pick up devices are used within these systems, the system suffers from reduced resolution, loss of time related information or a widened cross section.

The issue of color imagery or the issue of a shaft-less endoscope is not embedded into any solution.

To offer higher flexibility and to reduce mechanical and optical constraints it is desired to advance the image pick-up device to the frontal part of the endoscope. This allows much higher articulation and lends itself easily to a flexible endoscope. Having a frontal pick up device compromises the resolution of the color device due to size constraints (at this time).

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US patent No. 5,076,687 to Adelson, is directed to an "Optical Ranging Apparatus" which is, in general a depth measuring device utilizing a lenticular lens and a cluster of pixels.

U.S. patent No. 5,760,827 to Faris, is directed to "Pixel Data Processing System and Method for Producing Spectrally-Multiplexed Images of Three-Dimensional Imagery for Use in Stereoscopic Viewing Thereof" and demonstrates the use of multiplexing in color and as such, offers a solution for having a color stereo imagery with one sensor. Nevertheless, such a system requires several sequential passes to be acquired from the object, for creating a stereo color image.

US patent no. 5,812,187 to Akira, is directed to an Electronic Endoscope Apparatus. This device provides a multi-color image using a monochromatic detector and a mechanical multi-wavelength-illuminating device. The monochromatic detector detects an image, each time the multi-wavelength-illuminating device produces light at a different wavelength.

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## SUMMARY OF THE PRESENT INVENTION

It is an object of the present invention to provide a novel system for stereoscopic imaging using a lenticular lens layer and a sensor array, and a novel method for operating the same, which overcomes the disadvantages of the prior art.

In accordance with the present invention, there is thus provided a stereoscopic device, which includes a lenticular lens layer and a color light sensor array. The lenticular lens layer includes a plurality of lenticular elements. Each of the lenticular elements is located in front of a selected group of the light sensors of the sensor array, thereby directing light from different directions to different light sensors within the selected group of the light sensors.

In accordance with a further aspect of the invention, there is provided a stereoscopic device, which includes a lenticular lens layer and a light sensor array, including a plurality of light sensors, where each of the light sensors detects light at a predetermined range of wavelengths.

The stereoscopic device according to the invention can be constructed as a large-scale device, such as a television camera or a small-scale device such as an endoscope.

In a stereoscopic device according to the invention, each of the lenticular elements includes light directing means, which distinguish between at least two directions of light. For example, each of the lenticular elements can be shaped in a general semi-cylindrical shape. Each of the lenticular elements can alternatively include light directing means, which distinguish between four directions of light. For example, such a lenticular element can be shaped in a general semispherical shape.

According to one aspect of the invention, each of the selected groups of the light sensors includes an even number of light sensors. According to another aspect of the invention, each of the selected groups of the light sensors includes an odd number of light sensors.

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The stereoscopic device of the invention can further include an illuminating unit. This light illuminating unit can surround the lenticular lens layer. An illumination unit according to the invention includes a light source, a light distribution unit and light guiding means connected between the light source and the light dispersing unit. The light guiding means guides light from the light source to the light dispersing unit. According to one aspect of the invention, the light dispersing unit surrounds the lenticular lens layer.

The light illuminating unit can produce light in a predetermined range of wavelengths. According to another aspect of the invention, the light illuminating unit produces at least two alternating beams of light, where each of the beams of light is characterized as being in a different range of wavelengths.

The stereoscopic device according to the invention, can further include a controller connected to the array of light sensors. This controller produces an image for each of the different directions, by combining data received from the light sensors respective of each of the different directions.

This controller can be connected to the array of light sensors. Accordingly, the controller produces an image for each combination of a selected one of the different directions and a selected one of the beams of light, by combining data received from the light sensors respective of each of the different directions, with respect to the currently illuminating one of the beams of light.

The stereoscopic device according to the invention can further include capturing means, connected to the array of light sensors, for capturing data received from light sensors and a storage unit for storing the captured data. The stereoscopic device can further include a stereoscopic display unit, connected to the controller, for producing the

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image in a stereoscopic manner. The produced image can be partially stereoscopic.

The predetermined ranges of wavelengths, which are applicable for the light sensors as well as for the illumination light beams can be selected from the list consisting of substantially visible red color light, substantially visible green color light, substantially visible blue color light, substantially visible cyan color light, substantially visible yellow color light, substantially visible magenta color light, substantially infra-red light, substantially ultra-violet light, visible light, and the like.

For example, either the light sensor array or the light beams can include a color combination of red-green-blue (RGB), cyan-yellow-magenta-green (CYMG), a white light color combination and the like.

In accordance with a further aspect of the invention, there is thus provided a method for detecting a stereoscopic image. The method includes the steps of splitting light which arrives from different directions, using a lenticular lens layer, thereby producing at least two images, which are intertwined in a master image, and detecting the master image.

The method can further include the step of reconstructing each of the images from the master image. In addition, the method can further include the step of displaying the images using a stereoscopic display device.

Furthermore, the method can include the step of simultaneously displaying the images on a stereoscopic display device. In addition, the method can further include the steps of sequentially illuminating a detected area with alternating beams of light, of different ranges of wavelength, and associating the master image in time, with the currently illuminating ranges of wavelength.

The step of reconstructing can include the steps of determining a range of wavelengths for each pixel within each one of the images, and determining

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an intensity level for each pixel within each one of the images. The step of reconstructing can further include the steps of selecting one of the pixels, associated with a predetermined range of wavelengths, determining the pixels associated with another range of wavelengths, in the vicinity of the selected pixel, calculating an approximated level of the other range of wavelengths at the location of the selected pixel, and starting again from the step of selecting.

In accordance with another aspect of the present invention, there is thus provided a stereoscopic device for detecting a stereoscopic image. The stereoscopic device includes at least two apertures, a multi wavelength light sensor array and a controllable multi wavelength illumination unit. Each aperture includes a plurality of light valves. The controllable multi wavelength illumination unit produces at least two alternating beams of light, where each beam of light is characterized as being in a different range of wavelengths. Each light valve is operative to open at a different predetermined timing. Furthermore, the multi wavelength light sensor array detects a plurality of images, where each of the imagés corresponds to a predetermined combination of an open state of a selected light valve and a selected mode.

In accordance with a further aspect of the present invention, there is thus provided a method for detecting a stereoscopic image. The method includes the steps of alternating between at least two apertures, producing a sequence of at least two illumination beams and detecting a plurality of frames. The apertures are directed at an object. The illumination beams are produced in different ranges of wavelengths. Each of the frames is detected for a combination, which includes a selected aperture and a selected illumination beam.

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## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be understood and appreciated more fully from the following detailed description taken in conjunction with the drawings in which:

Figure 1 is a schematic illustration of a three dimensional object and a stereoscopic vision apparatus, constructed and operative in accordance with a preferred embodiment of the present invention;

Figure 2 is a schematic illustration of a stereoscopic vision apparatus, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 3A is a schematic illustration of a super-pixel, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 3B is a schematic illustration of the super-pixel of Figure 3A and a lenticular element, constructed and operative in accordance with a another preferred embodiment of the present invention;

Figure 3C is a schematic illustration of a sensor array and a lenticular lens layer, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 4 is a schematic illustration of a super-pixel, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 5A is a schematic illustration of a color super-pixel, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 5B is a schematic illustration of the color super-pixel of Figure 5A, with a single lenticular element, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 5C is a schematic illustration of the color super-pixel of Figure 5A, combined with three lenticular elements, constructed and

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operative in accordance with a further preferred embodiment of the present invention;

Figure 6 is a schematic illustration of a sensor array and a lenticular lens layer, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 7A is a schematic illustration of method for operating the apparatus of Figure 2, operative in accordance with a further preferred embodiment of the present invention;

Figure 7B is an illustration in detail of a step of the method of Figure 7A;

Figure 7C is a schematic illustration of a sensor array and a lenticular lens layer constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 8 is a schematic illustration of a stereoscopic vision apparatus, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 9A is a view in perspective of a section of light sensors, and a lenticular element, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 9B is a view from the bottom of the lenticular element and the section of light sensors of Figure 9A;

Figure 9C is a view from the side of the lenticular element and the section of light sensors of Figure 9A;

Figure 10 is a view in perspective of a section of light sensors, and a lenticular element, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 11 is a view in perspective of a sensor array and a lenticular layer, constructed and operative in accordance with another preferred embodiment of the present invention;

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Figure 12A is a schematic illustration of a detection apparatus, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 12B is another schematic illustration of the detection apparatus of Figure 12A;

Figure 13 is a schematic illustration of a detection apparatus, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 14A is a partially schematic partially perspective illustration of a combined illumination and detection device, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 14B is a partially schematic partially perspective illustration of the combined illumination and detection device of Figure 14A, a controller and output frames, constructed and operative in accordance with another preferred embodiment of the present invention:

Figure 15 is an illustration in perspective of a color illumination unit, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 16 is a view in perspective of a sensor array and a partial lenticular layer, constructed and operative in accordance with another preferred embodiment of the present invention;

Figure 17 is a view in perspective of a sensor array and a partial lenticular layer, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 18 is a schematic illustration of a sensor array and a partial lenticular layer, constructed and operative in accordance with another preferred embodiment of the present invention;

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Figure 19 is a schematic illustration of a sensor array and a partial lenticular layer, constructed and operative in accordance with a further preferred embodiment of the present invention;

Figure 20A is a schematic illustration of a system, for producing a color stereoscopic image, in a right side detection mode, constructed and operative in accordance with another preferred embodiment of the invention:

Figure 20B is an illustration of the system of Figure 20A, in a left-side detection mode;

Figures 21A and 21B are schematic illustrations of timing sequences, in which the controller of the system of Figure 20A synchronizes the operation of illumination unit, apertures and image detector of that same system;

Figure 22 is a schematic illustration of a method for operating the system of Figure 20A and 20B, operative in accordance with a further preferred embodiment of the present invention;

Figure 23 a schematic illustration of a timing scheme, for operating the system of Figures 20A and 20B, in accordance with another preferred embodiment of the present invention; and

Figure 24 a schematic illustration of a timing scheme, for operating the system of Figures 20A and 20B, in accordance with a further preferred embodiment of the present invention.

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## **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

The present invention overcomes the disadvantages of the prior art by providing a continuous vision stereoscopic apparatus, using a generally lenticular lens layer, a light sensor array and an image processing system.

Reference is now made to Figure 1, which is a schematic illustration of a three dimensional object and a stereoscopic vision apparatus, generally referenced 100 constructed and operative in accordance with a preferred embodiment of the present invention. Apparatus 100 includes a lenticular lens layer 104, a light sensor array 102, a processor 106 and two display devices 108R and 108L. Apparatus 100 is placed in front of a three-dimensional object 150. An optical assembly 152 is placed between apparatus 100 and object 150, for focusing the image of object 150 on light sensor array 102.

Light sensor array 102 includes a plurality of sensors 110, 111, 112, 113, 114, 115, 116, 117, 118 and 119. Lenticular lens layer 104 includes a plurality of lenticular elements 130, 132, 134, 136 and 138. Each one of the lenticular elements is located above two light sensors, in a way that lenticular element 130 is located above sensors 110 and 111, lenticular element 132 is located above sensors 112 and 113, lenticular element 134 is located above sensors 114 and 115, lenticular element 136 is located above sensors 116 and 117 and lenticular element 138 is located above sensors 118 and 119.

The light sensors 110, 111, 112, 113, 114, 115, 116, 117, 118, and 119, detect light as directed by the lenticular lens elements 130, 132, 134, 136 and 138, and provide respective information to the processor 106. The processor 106 processes this information, produces a pair of images, as will be explained in detail hereinbelow, and provides them to the display units 108R and 108L, which in turn produce visual representations of these images.

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In general, each lenticular element directs light rays, which arrive from a predetermined direction to a predetermined location, and light rays which arrive from another predetermined direction, to another predetermined location. Hence, the present invention, utilizes the lenticular lens layer to distinguish between a right view image and a left view image, as is described hereinbelow.

Each of the display units 108R and 108L includes a plurality of display units also known as pixels. Display unit 108L includes pixels 142A, 142B, 142C, 142D and 142E. Display unit 108R includes pixels 144A, 144B, 144C, 144D and 144E. Using these pixels each of the display units produces an image, according to data provided from the processor 106. The two images, each viewed by a different eye of the user, produce a sensation of a three dimensional image.

Light rays 124A, and 126A represent a right-side image of the three-dimensional object 150. Light rays 120A, and 122A represent a left side image of the three-dimensional object 150. The optical assembly 152 redirects light rays 120A, 122A, 124A and 126A so as to focus them on a plain which is determined by the light sensor array 102, as light rays 120B, 122B, 124B and 126B, respectively. Hence, Light rays 122B and 126B represent a focused left side view of the three-dimensional object 150, and light rays 120B and 124B represent a focused right side view of the three-dimensional object 150.

The lenticular lens layer 104 directs the focused left side view light rays 122B and 126B to light sensors 110 and 118, respectively, as respective light rays 122C and 126C. In addition, the lenticular lens layer 104 directs the focused right side view light rays 120B and 124B to light sensors 111 and 119, respectively. In general, light sensors 111, 113, 115, 117 and 119 detect light rays which relate to a right side of view image of object 150, and light sensors 110, 112, 114, 116, and 118, detect light rays which relate to left side view image of object 150.

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Hence, light sensors 110, 112, 114, 116 and 118 detect the left side image of object 150, while light sensors 111, 113, 115, 117 and 119 detect the right side image of object 150. The light sensor array 102 provides data relating to the detected light intensity at each of the light sensors to the processor 106.

The processor processes this data, produces a right side image from the data relating to the right side image and a left side image from the data relating to the left side, and provides the respective image to the respective display unit 108R and 108L. In the present example, the processor 106 utilizes the data received from sensors 110, 112, 114, 116 and 118 to determine the data provided to pixels 144A, 144B, 144C, 144D and 144E. Similarly, the processor 106 utilizes the data received from sensors 111, 113, 115, 117 and 119 to determine the data which is to be provided to pixels 142A, 142B, 142C, 142D and 142E.

According to the present invention, the right side image and the left side image are detected at the same time and hence, can also be displayed at the same time. According to another aspect of the present invention, each of the light sensors 110, 111, 112, 113, 114, 115, 116, 117, 118, and 119, includes a plurality of color sensing elements, which together cover a predetermined spectrum, as will be described in detail herein below.

Reference is now made to Figure 2, which is a schematic illustration of a stereoscopic vision apparatus, generally referenced 200 constructed and operative in accordance with another preferred embodiment of the present invention. Apparatus 200 includes a sensor assembly 202, an interface 210, a processor 208, a movement detector 230, a light source 206, a memory unit 204, a stereoscopic videogenerator 212 and a stereoscopic display 214. The sensor assembly 202 is connected to the interface 210 using a flexible cord 218. The interface 210 is connected to processor 208, memory unit 204, and the light source 206. The processor 208 is further connected to the memory unit 204,

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movement detector 230 and to the stereoscopic video generator 212. The stereoscopic video generator 212 is further connected to the stereoscopic display 214. Movement detector 230 detects the movement of sensor assembly 202 relative to an object. For this purpose, movement detector 230 is attached to sensor assembly 202. In the case of a rigid endoscope, the movement detector can be attached to any part of the endoscope rod, since the movement of the endoscope head can be determined according to the movement of any point of the endoscope rod.. The operation of system 200, according to data received from movement detector 230, is described herein below.

The sensor assembly 202 includes a focusing element, which in the present example is a lens 226, a lenticular lens layer 222, a light sensor array 220, an interface 228 and a light projecting means 224. The lenticular lens layer 222 is attached to the light sensor array 220. According to the invention, the light sensor array 220 can be any type of sensing array, such as a CCD detector, a CMOS detector, and the like. The light sensor array 220 is connected to the interface 228, which can also acts as a supporting base.

The stereoscopic display 214 includes two display units, a left display unit 216L (for placing in front of the left eye of the user) and a right display unit 216R (for placing in front of the right eye of the user). Hence, the stereoscopic display 214 is capable of displaying stereoscopic images continuously. Such a stereoscopic display unit is for example the ProView 50 ST head mounted display, manufactured and sold by Kaiser Electro-Optics Inc., a US registered company, located in Carlsbad, California. Another example for a stereoscopic display unit is the virtual retinal display (VRD) unit, which is provided by MICROVISION Inc., a US registered company, located in Seattle, Washington. It is noted that any method, which is known in the art for displaying stereoscopic, and for that matter three-dimensional images, is applicable for the present invention.

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The image received from a three dimensional object is received at the sensor assembly 202, focused by lens 226, optically processed by the lenticular lens layer 222 and finally detected by the light sensor array 220. The lenticular lens layer 222 directs light coming from one predetermined direction to predetermined light sensors of the light sensor array 220, and light coming from another predetermined direction to other predetermined light sensors of the light sensor array 220. Accordingly, light sensor array 220 detects two images of the same object, a right side image and a left side image, each from a different direction. This aspect of the invention is described in detail hereinabove, in conjunction with Figure 1.

An electronic representation of this information is partially processed by the interface 228 and then provided to the interface 210, via flexible cord 218. It is noted that flexible cord 218 includes digital communication linking means such as optic fibers or electrical wires, for transferring data received from light sensor array 220, as well as light guiding conducting means for conducting light from light source 206 to the light projecting means 224. According to the present invention, flexible cord 218 can be replaced with a rigid cord (not shown), if necessary.

The data received at interface 210 includes information, which relates to the two images and has to be processed so as to distinguish them from each other. As the processor 208 processes the information, it uses the memory unit 204 as temporarily storage.

After processing the information, the processor 208 produces two matrixes each being a reconstructed representation relating to one of the originally detected images. The processor provides these matrixes to the stereoscopic video generator 212, which in turn produces two respective video signals, one for the left view image and another for the right view image.

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The stereoscopic video generator 212 provides the video signals to the stereoscopic display 214, which in turn produces two images, one using right display unit 216R and another using left display unit 216L.

It is noted that the general size of the sensor assembly 202 is dictated by the size of the sensor array and can be in the order of a few millimeters or a few centimeters. This depends on the size of each of the sensors in the array and the total number of sensors (i.e. the required optical resolution).

According to one aspect of the invention, each of the sensors in light sensor array 220, is a full range sensor, which yields data relating to a gray scale stereoscopic image. According to another aspect of the invention, each of the sensors in the light sensor array, can be adapted so as to provide full color detection capabilities.

Reference is now made to Figure 3A, which is a schematic illustration of a super-pixel, generally referenced 300, constructed and operative in accordance with a further preferred embodiment of the present invention. Super-pixel 300 includes a left section of sensors which includes three sensors 302, 304 and 306, and a right section of sensors which also includes three sensors 308, 310 and 312. Sensors 302 and 310 detect generally red colored light, sensors 304 and 312 detect generally green colored light and sensors 306 and 308 detect generally blue colored light. Hence, each of the sections includes a complete set of sensors for detecting light in the entire visible spectrum.

Reference is further made to Figure 3B, which is a schematic illustration of the super-pixel 300 of Figure 3A and a lenticular element, generally referenced 318 constructed and operative in accordance with a another preferred embodiment of the present invention. The lenticular element 318 is located on top of super-pixel 300, where its right side covers the right section of the super-pixel 300, and its left side covers the left section of the super-pixel 300. Accordingly, the lenticular element 318 directs light, which

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arrives from the left (right view image), to the left section of the super-pixel 300, where it is detected in full spectrum by sensors 302, 304 and 306. The data provided by these sensors can later be utilized to reconstruct an image in full color. Similarly, the lenticular element 318 directs light, which arrives from the right (left view image), to the right section of the super-pixel 300, where it is detected in full spectrum by sensors 308, 310 and 312.

Reference is now made to Figure 3C, which is a schematic illustration of a sensor array, generally referenced 330, and a lenticular lens layer, generally referenced 332, constructed and operative in accordance with a further preferred embodiment of the present invention. Sensor array 330 is a matrix of M×N super-pixels, which are generally referenced 340. For example, the upper left super-pixel is denoted  $340_{(1,1)}$ , the last super-pixel in the same column is denoted  $340_{(1,N)}$  and the lower-right pixel is denoted  $340_{(M,N)}$ . A lenticular lens layer 332 of which three lenticular elements are shown (referenced 334), is placed over the sensor array 330.

Lenticular element  $334_{(1)}$  covers the first column of super-pixels 340 from super-pixel  $340_{(1,1)}$  to super-pixel  $340_{(1,N)}$ . Lenticular element  $334_{(2)}$  covers the second column of super-pixels 340 from super-pixel  $340_{(2,1)}$  to super-pixel  $340_{(2,N)}$ . Lenticular element  $334_{(3)}$  covers the third column of super-pixels 340 from super-pixel  $340_{(3,1)}$  to super-pixel  $340_{(3,N)}$ . Accordingly, each of the lenticular elements of the lenticular lens layer covers an entire column of super-pixels.

It is noted that a super-pixel according to the present invention can include sensors in any set of colors such as red-green-blue (RGB), cyan-yellow-magenta-green (CYMG), infra-red, ultra-violet and the like, in any arrangement or scheme such as columns, diagonals and the like. It is noted that such a set of colors can be achieved either by using specific color sensitive detectors or by using color filters over the wide spectrum detectors.

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Reference is further made to Figure 4, which is a schematic illustration of a super-pixel, generally referenced 350, constructed and operative in accordance with another preferred embodiment of the present invention. Super-pixel 350 includes a left section of sensors which includes four sensors 352, 354, 356 and 358 and a right section of sensors which also includes four sensors 360, 362, 364 and 366. Sensors 352 and 366 detect generally cyan colored light, sensors 354 and 360 detect generally yellow colored light, sensors 356 and 362 detect generally magenta colored light and sensors 358 and 364 detect generally green colored light. Hence, each of the sections includes a complete set of sensors for detecting light in the entire visible spectrum.

Reference is further made to Figures 5A, 5B and 5C. Figure 5A is a schematic illustration of a super-pixel, generally referenced 370, constructed and operative in accordance with a further preferred embodiment of the present invention. Figure 5B is a schematic illustration of super-pixel 370 combined with a single lenticular element, generally referenced 384, constructed and operative in accordance with another preferred embodiment of the present invention. Figure 5C is a schematic illustration of super-pixel 370 combined with three lenticular elements, generally referenced 386, constructed and operative in accordance with a further preferred embodiment of the present invention.

The color arrangement which is provided for super-pixel 370 is typical for vertical light detection arrays, where each column of sensors is coated with light filtering layer of a different color. As can be seen in Figure 5A, super-pixel 370 includes a plurality of light sensors 372, 374, 376, 378, 380 and 382. Light sensors 372 and 378 are blue color range sensors. Light sensors 374 and 380 are green color range sensors. Light sensors 376 and 382 are red color range sensors.

Reference is now made to Figure 6, which is a schematic illustration of a sensor, generally referenced 390, and a lenticular lens

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layer, generally referenced 392, constructed and operative in accordance with another preferred embodiment of the present invention. Sensor 390 is logically divided into a plurality of super-pixels, generally referenced  $394_{(x,y)}$ . For example, the upper-left super-pixel is referenced  $394_{(1,1)}$  and the lower-right side super-pixel is referenced  $394_{(M,N)}$ .

As can be seen from Figure 6, the color arrangement of sensor 390 is diagonal. Hence, each super pixel has a different color arrangement, and generally speaking, there are several types of super-pixels, such as red-blue (super pixel 394<sub>(M-2,N)</sub>), green-red (super pixel 394<sub>(M-1,N)</sub>) and blue-green (super pixel 394<sub>(M,N)</sub>).

Reference is now made to Figure 7A, which is a schematic illustration of a method for operating apparatus 200, operative in accordance with a further preferred embodiment of the present invention. In step 400, the apparatus 200 splits light which arrives from different directions, utilizing the lenticular lens 222. Each of the lenticular elements produces two light sectors, one sector which includes light rays arriving from the left side, and another sector which includes light rays arriving from the right side.

In step 402, the apparatus detects each light sector separately, using a plurality of light detectors, each detecting a portion of its respective sector. With reference to Figure 3B, sensors 302, 304 and 306 detect light which arrives from the lenticular element 318, at the left side sector and sensors 308, 310 and 312 detect light which arrives from the lenticular element 318, at the right side sector. Each of the sensors detects light at a sub-sector.

In step 404, the apparatus 200 determines the light characteristics as detected by each of the light sensors, at each of the sub-sectors. In step 408, the apparatus 200 utilizes the data, which was accumulated from selected sub-sectors to determine and produce an image representing a view from one side. In step 406, the apparatus 200 utilizes the data, which

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was accumulated from other selected sub-sectors to determine and produce an image representing a view from another side. In step 410, the apparatus 200 displays both images using a continuous stereoscopic display device.

According to a further aspect of the invention, information from selected pixels can be used to enhance information for other pixels. For example, color information of pixels, which are associated with a first color is used for extrapolating that color at the location of another pixel, associated with a second color.

Reference is further made to Figures 7B and 7C. Figure 7B is an illustration in detail of step 406 of Figure 7A. Figure 7C is a schematic illustration of a sensor array, generally referenced 450, and a lenticular lens layer, generally referenced 452, constructed and operative in accordance with another preferred embodiment of the present invention. Sensor array 450 includes a plurality of pixel sensors, referenced 454, each associated with a selected color. For example, pixel sensors  $R_{(1,1)}$ ,  $R_{(2,2)}$ ,  $R_{(3,3)}$ ,  $R_{(4,4)}$ ,  $R_{(1,4)}$  and  $R_{(4,1)}$  are associated with the red color. Pixel sensors  $G_{(2,1)}$ ,  $G_{(3,2)}$ ,  $G_{(4,3)}$ ,  $G_{(1,3)}$  and  $G_{(2,4)}$  are associated with the green color. Pixel sensors  $B_{(1,2)}$ ,  $B_{(2,3)}$ ,  $B_{(3,4)}$ ,  $B_{(3,1)}$  and  $B_{(4,2)}$  are associated with the blue color.

In step 420, the system, according to the invention, selects a pixel sensor, associated with a first color. With reference to Figure 7C, the selected pixel sensor according to the present example is pixel sensor  $R_{(3,3)}$ .

In step 422, the system determines pixels, associated with a second color, in the vicinity of the selected pixel. It is noted that these pixels can also be restricted to ones, which relate to the same image side of the selected pixel. With reference to Figure 7C, the second color is green and the green pixel sensors, in the vicinity of pixel sensor  $R_{(3,3)}$ ,

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respective of the same image side are pixel sensors  $G_{(5,1)}$ ,  $G_{(3,2)}$ ,  $G_{(3,5)}$ ,  $G_{(5,4)}$ , and  $G_{(1,3)}$ .

In step 424, the system calculates an approximation of the level of the green color at the location of the selected pixel  $R_{(3,3)}$ . It is noted that the calculation can include a plurality of approximation procedures, such as calculating the weighted average level, depending on the location of pixel sensors  $G_{(5,1)}$ ,  $G_{(3,2)}$ ,  $G_{(3,5)}$ ,  $G_{(5,4)}$ , and  $G_{(1,3)}$ , with respect to the location of the selected pixel sensor  $R_{(3,3)}$ . Similarly, blue color level at the location of the selected pixel sensor  $R_{(3,3)}$ , can be calculated using the information received from pixel sensors  $B_{(1,2)}$ ,  $B_{(1,5)}$ ,  $B_{(3,1)}$ ,  $B_{(3,4)}$  and  $B_{(5,3)}$ . Hence the present invention provides a method for enhancing picture resolution by means of color information interpolation, using image processing.

It is noted that any of the lenticular elements is not necessarily round shaped, but can be formed according to other optical structures which are based on various prism designs and the like, which provide the direction of beams of light coming from different directions to different directions.

Reference is now made to Figure 8, which is a schematic illustration of a stereoscopic vision apparatus, generally referenced 500, constructed and operative in accordance with a further preferred embodiment of the present invention. Apparatus 500 includes a sensor assembly 502, a frame grabber 510, a processor 508, a light source 506, a memory unit 504, a stereoscopic video generator 512 and a stereoscopic display 514. The sensor assembly 502 is connected to the frame grabber 510 using a flexible cord 518. The frame grabber 510, the processor 508, the memory unit 504 and the stereoscopic video generator 512 are all interconnected using a common bus.

The sensor assembly 502 is generally similar to the sensor assembly 202, described herein above in conjunction with Figure 2. The

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sensor assembly 502 includes a lens 526, a lenticular lens layer 522, a light sensor array 520, an analog to digital converter (A/D) 528 and light projecting means 524. The lenticular lens layer 522 is attached to the light sensor array 520. Light sensor array 520 is connected to the A/D 528, which could also act as a supporting base. The light projecting means 524 is connected to light source 506, which provides light thereto.

The stereoscopic display 514 includes two display units, a left display unit 516L (for placing in front of the left eye of the user), and right display unit 516R (for placing in front of the right eye of the user). Hence, the stereoscopic display 514 is capable of displaying stereoscopic images continuously.

The sensor assembly 502 operates in a manner, similar to that of sensor assembly 202, described hereinabove in conjunction with Figure 2. An electronic representation of this information, is provided by the A/D converter 528, after being converted into digital format.

The information data is received by the frame grabber 510 and hence made available to the processor 508 via the bus. As the processor 508 processes the information, it uses the memory unit 504 as temporary storage. After processing the information, the processor 508 produces two matrixes each being a reconstructed representation relating to one of the originally detected images. The processor 508 provides these matrixes to the stereoscopic video generator 512, which in turn produces two respective video signals, one for the left view image and another for the right view image. The stereoscopic video generator 512 provides the video signals to the stereoscopic display 514, which in turn produces two images, one using right display unit 516R and another using left display unit 516L.

Reference is now made to Figures 9A, 9B and 9C. Figure 9A is a view in perspective of a super-pixel, generally referenced 550, and a lenticular element, generally referenced 552, constructed and operative in

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accordance with another preferred embodiment of the present invention. Figure 9B is a view from the bottom of the lenticular element 552 and the super-pixel 550 of Figure 9A. Figure 9C is a view from the side of the lenticular element 552 and the super-pixel 550 of Figure 9A.

The super-pixel 550 includes four sensor sections, 554, 556, 558 and 560, arranged in a rectangular formation. The lenticular element 552 is shaped like a dome and is basically divided into four sections, each facing a different one of the sensor sections 554, 556, 558 and 560.

The super-pixel 550 and the lenticular element 552 form together, an optical detection unit, which is capable of detecting and distinguishing light which arrives from four different directions. The lenticular element 552 directs a portion of the upper-left side view of the detected object to sensor section 554 and directs a portion of the lower-left side view of the detected object to sensor section 556. In addition, the lenticular element 552 directs a portion of the upper-right side view of the detected object to sensor section 560 and a portion of the lower-right side view of the detected object to sensor section 558.

It is noted that according to a further aspect of the invention, the four-direction arrangement, which is described in Figures 9A, 9B and 9C can be used to logically rotate the image which is provided to the user, without physically rotating the device itself. At first, sensor sections 560 and 558 are used to form the right-side image and sensor sections 554 and 556 are used to form the left-side image. A rotation at an angle of 90° clockwise, is provided by assigning sensor sections 554 and 560, to form the right side image, and assigning sensor sections 556 and 558, to form the left-side image. It is further noted that a rotation in any desired angle can also be performed by means of a linear or other combination of sensor sections, when reconstructing the final images.

Reference is now made to Figure 10, which is a view in perspective of a section of light sensors, generally referenced 570, and a lenticular

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element, generally referenced 572, constructed and operative in accordance with a further preferred embodiment of the present invention. Lenticular element 572 is extended to cover the entire area of the section of pixels, so as to enhance light transmission thereto.

Reference is now made to Figure 11, which is a view in perspective of a sensor array, generally referenced 580, and a lenticular layer, generally referenced 582, constructed and operative in accordance with another preferred embodiment of the present invention. The lenticular layer 582 includes a plurality of four direction lenticular elements such as described in Figures 9A and 10. The sensor array 580 is logically divided into a plurality of sensor sections, generally referenced 584<sub>(x,y)</sub>. For example, the upper left sensor section is referenced 584<sub>(1,1)</sub> and the lower-right sensor section is referenced 584<sub>(M,N)</sub>. Each of the sensor sections is located, beneath a lenticular element and detects light directed thereby.

Reference is now made to Figures 12A and 12B. Figure 12A is a schematic illustration of a detection apparatus, generally referenced 600, constructed and operative in accordance with a further preferred embodiment of the present invention. Figure 12B is another schematic illustration of detection apparatus 600, of Figure 12A.

Detection apparatus 600 includes an optical assembly 602, a lenticular layer 604 and an array of sensors 608. The detection apparatus 600 detects images of an object 610, which includes a plurality of object sections 610A, 610B, 610C and 610D.

Sensor array 608 includes a plurality of super-pixels 608A, 608B, 608C and 608D. Each of these super-pixels is divided into a left-side section and a right-side section. For example, super-pixel 608A includes a left-side section, designated  $608A_L$  and a right-side section, designated  $608A_R$ .

The optical assembly 602 is divided into two optical sections 602<sub>L</sub> and 602<sub>R</sub>, each directed at transferring an image, which represents a

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different side view. Optical section  $602_R$  transfers an image, which is a view from the right side of object 610. Optical section  $602_L$  transfers an image, which is a view from the left side of object 610.

A plurality of light rays 612, 614, 616 and 618 are directed from all sections of the object 610 to the left side of optical assembly 602 (i.e., optical section  $602_L$ ), and are directed to the lenticular layer 604. Here, these rays are further directed to the left-side view associated sensor sections, which are sensor sections  $608_L$  (i.e., sensor sections  $608A_L$ ,  $608B_L$ ,  $608C_L$  and  $608D_L$ ).

With reference to Figure 12B, a plurality of light rays 622, 624, 626 and 628 are directed from all sections of the object 610 to the right side of optical assembly 602 (i.e., optical section  $602_R$ ), and are directed to the lenticular layer 604. Here, these rays are further directed to the right-side view associated sensor sections, which are sensor sections  $608A_R$ ,  $608B_R$ ,  $608C_R$  and  $608D_R$ .

Reference is now made to Figure 13, which is a schematic illustration of a detection apparatus, generally referenced 630, constructed and operative in accordance with another preferred embodiment of the present invention. Detection apparatus 630 includes an optical assembly, which is divided into four sections 632, 634, 636 and 638, a lenticular layer 642 and an array of sensors 640. The detection apparatus 630 detects images of an object 648, which includes a plurality of object sections 648A, 648B, 648C, 648D, 648E and 648F. Light rays, which arrive from object 648 to any of the optical sections, are directed to a lenticular element of the lenticular layer 642, according to their origin.

In the present example, all of the light rays 646A, 646B, 646C and 646D arrive from object element 648A. Each of these rays is received at a different optical section. Ray 646A is received and directed by optical section 636, ray 646B is received and directed by optical section 638, ray 646C is received and directed by optical section 634 and ray 646D is

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received and directed by optical section 632. Each of the optical sections directs its respective ray to a specific lenticular element  $642_{(1,1)}$ , at the right side of the lenticular layer 642. The location of lenticular element  $642_{(1,1)}$  is respective of the location of the object element 648. The lenticular element  $642_{(1,1)}$  directs each of the rays to predetermined light sensors within its respective super-pixel  $640_{(1,1)}$ .

In accordance with a further aspect of the present invention, there is provided a reduced size color stereovision detection system, which uses time-multiplexed colored light projections, and respective time-multiplexed frame grabbing.

Reference is now made to Figures 14A and 14B. Figure 14A is a partially schematic, partially perspective illustration of a combined illumination and detection device, generally referenced 650, constructed and operative in accordance with a further preferred embodiment of the present invention. Figure 14B is a partially schematic, partially perspective illustration of the combined illumination and detection device 650 of Figure 14A, a controller, generally designated 662, and output frames, constructed and operative in accordance with another preferred embodiment of the present invention.

Device 650 includes a lenticular layer 652, a full spectrum sensor array 654, an optical assembly 660 and an illuminating unit 656, surrounding the optical assembly 660. Illuminating unit 656 includes a plurality of illuminating elements, generally referenced 658, each being of a specific predetermined color. Illuminating elements 658<sub>RED</sub> produce generally red light, illuminating elements 658<sub>GREEN</sub> produce generally green light and illuminating elements 658<sub>BLUE</sub> produce generally blue light: It is noted that each of the illuminating elements can be of a specific color (i.e., a specific wavelength), a range of colors (i.e., a range of wavelengths) or alternating colors. For example, a multi-color LED.

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Each group of illuminating elements, which are of the same color, is activated at a different point in time. For example, illuminating elements  $658_{\text{RED}}$  are activated and shut down first, illuminating elements  $658_{\text{GREEN}}$  are activated and shut down second and illuminating elements  $658_{\text{BLUE}}$  are activated and shut down last. Then the illuminating sequence is repeated.

With reference to Figure 14B, the controller 662 is connected to the sensor array 654 and the illuminating unit 656. The sensor array 654 includes full spectrum sensors, which are capable of detecting red, green and blue light, but cannot indicate the wavelength of the detected light. The controller 662 associates the images, which are detected at any particular moment, using the sensor array 654, with the color of the illuminating elements, which were active at that particular moment.

Hence, the first detected frame 664 in an illumination sequence is considered red, since the illuminating elements which were active at that time, were illuminating elements 658<sub>RED</sub>. Similarly, the second detected frame 666 in an illumination sequence is considered green, since the illuminating elements, which were active at that time, were illuminating elements 658<sub>GREEN</sub>. Finally, the last detected frame 668 in an illumination sequence is considered blue, since the illuminating elements, which were active at that time, were illuminating elements 658<sub>BLUE</sub>. It is noted that any other combination of colors is applicable for this and any other aspect of the present invention, such as CYMG and the like.

Reference is now made to Figure 15, which is an illustration in perspective of a color illumination unit, generally referenced 670, constructed and operative in accordance with a further preferred embodiment of the present invention. Unit 670 includes a light-guiding element 671, which is generally shaped as an open-cut hollow cone, having a narrow section 674 and a wide section 672. A detection head according to the invention, such as described in Figure 2 (referenced 202),

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can be placed within the hollow space of the light-guiding element 671. A multi-color light source 680 can be connected to the narrow section 674. Light, such as light ray 678, which is emitted from the light source 680, is directed via the light guiding element 671, and is projected through the wide section 672.

According to a further aspect of the invention, a remote multi-color light source 682 can be connected to the narrow section 674 via additional light guiding members such as optic-fibers 684. Light, such as light ray 676, which is emitted from the light source 682, is directed via the light guiding members 684 to the narrow section 674. The light-guiding element 671 guides ray 676, and projects it through the wide section 672. This arrangement is useful when using an external light source, which is to be placed outside the inspected area (for example, outside the body of the patient).

According to a further aspect of the invention, a full spectrum illumination unit, which produces white light, is combined with a device such as sensor assembly 202 (Figure 2).

Reference is now made to Figure 16, which is a view in perspective of a sensor array, generally referenced 700, and a partial lenticular layer, generally referenced 702, constructed and operative in accordance with another preferred embodiment of the present invention. The partial lenticular layer 700 includes a plurality of four direction lenticular elements such as described in Figures 9A and 10. The sensor array 700 is logically divided into a plurality of sensor sections, generally referenced  $704_{(x,y)}$ . For example, the upper left sensor section is referenced  $704_{(1,1)}$  and the lower-right sensor section is referenced  $704_{(M,N)}$ . Some of the sensor sections, in the perimeter, are located beneath lenticular elements and others, such as the sensor sections in the center rectangle, which is defined by sensor sections  $704_{(4,3)}$ –  $704_{(7,6)}$  are not. Accordingly, the sensors which are located at the center rectangle can

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not be used to provide multi-direction (stereoscopic or quadroscopic) information. Instead, these sensors provide enhanced resolution monoscopic information.

Reference is now made to Figure 17, which is a view in perspective of a sensor array, generally referenced 720, and a partial lenticular layer, generally referenced 722, constructed and operative in accordance with a further preferred embodiment of the present invention. The partial lenticular layer 720 includes a plurality of four direction lenticular elements such as described in Figures 9A and 10. The sensor array 720 is logically divided into a plurality of sensor sections, generally referenced 724<sub>(x,y)</sub>. For example, the upper left sensor section is referenced 724<sub>(M,N)</sub>. Here, some of the sensor sections, in the center, (such as sensor section 724<sub>(4,2)</sub>) are located beneath lenticular elements and others, such as the sensor sections in the perimeter (such as sensor section 724<sub>(1,1)</sub>) are not. Accordingly, the sensors which are located at the center provide multi-direction (stereoscopic or quadroscopic) information and the ones in the perimeter provide enhanced resolution monoscopic information.

In accordance with a further aspect of the present invention there is provided a partial lenticular layer, which includes spaced apart lenticular elements. Reference is now made to Figure 18, which is a schematic illustration of a sensor array, generally referenced 740, and a partial lenticular layer, generally referenced 742, constructed and operative in accordance with another preferred embodiment of the present invention.

The partial lenticular layer 742 includes a plurality of lenticular elements designated 744<sub>(1)</sub>, 744<sub>(2)</sub> and 744<sub>(3)</sub>. Lenticular element 744<sub>(1)</sub> is located over the first two left columns of color sensors, generally designated 746<sub>(1)</sub>, of sensor array 740. Hence, the information received from these first two left columns of color sensors of sensor array 740

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contains stereoscopic information. The third and fourth columns of color sensors, generally designated  $746_{(2)}$ , of sensor array 740 do not have a lenticular element located thereon, and hence, cannot be used to provide stereoscopic information.

Similarly, lenticular elements  $744_{(2)}$  and  $744_{(3)}$  are located over color sensors column pairs,  $746_{(3)}$  and  $746_{(5)}$ , respectively, while color sensors column pairs,  $746_{(4)}$  and  $746_{(6)}$  are not covered with lenticular elements.

Reference is now made to Figure 19, which is a schematic illustration of a sensor array, generally referenced 760, and a partial lenticular layer, generally referenced 762, constructed and operative in accordance with another preferred embodiment of the present invention. Lenticular layer 762 includes a plurality of lenticular elements, referenced 764<sub>(1)</sub>, 764<sub>(2)</sub>, 764<sub>(3)</sub> and 764<sub>(4)</sub>, being of different sizes and located at random locations over the sensor array 740. It is noted that any structure of partial lenticular layer is applicable for the invention, whereas the associated image processing application has to be configured according to the coverage of that specific lenticular layer, and address covered sensors and uncovered sensors appropriately.

In accordance with a further aspect of the present invention, there is provided a system, which produces a color stereoscopic image. The structure of the stereoscopic device defines at least two viewing angles, through which the detector can detect an image of an object. According to one aspect of the invention, the stereoscopic device includes an aperture for each viewing angle. Each of the apertures can be opened or shut. The stereoscopic device captures a stereoscopic image, by alternately detecting an image of an object, from each of the viewing angles, (e.g., by opening a different aperture at a time and shutting the rest) through a plurality of apertures, (at least two), each time from a

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different aperture. The final stereoscopic image can be reconstructed from the images captured with respect to the different viewing angles.

The detection of stereoscopic color image is provided by illuminating the object with a sequence of light beams, each at a different wavelength, and detecting a separate image for each wavelength and aperture combination.

Reference is now made to Figures 20A and 20B. Figure 20A is a schematic illustration of a system, generally referenced 800, for producing a color stereoscopic image, in a right side detection mode, constructed and operative in accordance with a further preferred embodiment of the invention. Figure 20B is an illustration of the system of Figure 20A, in a left-side detection mode.

System 800 includes a multiple aperture 804, a controller 834, an image detector 812, a storage unit 836, an image processor 838, a movement detector 814 and an illumination unit 830. The controller 834 is connected to the multiple aperture 804, the image detector 812, the storage unit 836, movement detector 814 and to the illumination unit 830. The storage unit 836 is further connected to the image processor 838. The multiple aperture 804 includes a plurality of apertures, generally referenced 802, where each aperture can be activated to be open or closed. It is noted that when an aperture is open it is at least transparent to a predetermined degree to light, and when an aperture is closed, it substantially prevents the travel of light therethrough. Any type of controllable light valve can be used to construct each of the apertures. Movement detector 814 detects the movement of image detector 812. The detected movement can be a linear displacement, an angular displacement, and the derivatives thereof such as velocity, acceleration, and the like. The operation of system 800, according to data received from movement detector 814, is described herein below in connection with Figures 25A, 25B, 25C, 26A, 26B and 26C.

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Light valve elements are components, which have an ability to influence light in at least one way. Some of these ways are, for example: scattering, converging, diverging, absorbing, imposing a polarization pattern, influencing a polarization pattern which, for example, may be by rotation of a polarization plane, influencing wave length, diverting a beam's direction for example by using digital micro-mirror display (also known as DMD) or by using field effect, influencing phase, interference techniques, which either blocks or transfers a portion of beam of light and the like. Activation of light valve elements, which are utilized by the present invention, can be performed either electrically, magnetically or optically. Commonly used light valve elements are liquid crystal based elements, which either rotate or create and enforce a predetermined polarization axis.

In the present example, multiple aperture 804 includes two apertures  $802_R$  and  $802_L$ . The controller 834 further activates the multiple aperture 804, so as to alternately open apertures  $802_R$  and  $802_L$ . In Figure 20A, aperture  $802_R$  is open while aperture  $802_L$  is closed and in Figure 20B, aperture  $802_R$  is closed while aperture  $802_L$  is open.

Light rays, which reflect from various sections of the object 810, pass through the currently open aperture ( $802_R$  in Figure 20A and  $802_L$  in Figure 20B). Thereby, light rays 822 and 824 arrive from section 810A of object 810, pass through aperture  $802_R$ , and are detected by detection element 808A, while light rays 826 and 828 arrive from section 810D, pass through aperture  $802_R$  and are detected by detection element 808D. Hence, when aperture  $802_R$  is open, then the system 800 provides a right side view of the object 810.

With reference to Figure 20B, when aperture 802<sub>L</sub> is open, light rays 827 and 825 arrive from section 810A, pass through aperture 802<sub>L</sub>, and are detected by detection element 808A, while light rays 821 and 823 arrive from section 810D, pass through aperture 802<sub>L</sub>, and are detected by

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detection element 808D. Thereby, the system 800 provides a left side view of the object 810.

The illumination unit 830 is a multi-color illumination unit, which can produce light at a plurality of wavelengths. The controller 834 provides a sequence of illumination commands to the illumination unit 830, so as to produce a beam at a different predetermined wavelength, at each given moment. In the present example, the illumination unit is a red-green-blue (RGB) unit, which can produce a red light beam, a green light beam and a blue light beam. It is noted that illumination unit 830 can be replaced with any other multi-color illumination unit, which can produce either visible light, non-visible light or both, at any desired wavelength combination (CYMK and the like).

Furthermore, illumination unit 830 can be a passive unit, where it receives external commands to move from one wavelength to another, or it can be an active unit, which changes wavelength independently and provides an indication of the currently active wavelength to an external controller. Illumination unit 830 of the present example is a passive unit, which enhances the versatility of the system 800, by providing any wavelength sequence on demand.

The image detector 812 includes a plurality of detection elements 808A, 808B, 808C and 808D. In accordance with one aspect of the invention, detector 812 is a full range color detector, where each of the detection elements is operative to detect light in a plurality of wavelengths. In accordance with another aspect of the invention, the detector 812 is a color segmented detector, where the detection elements are divided into groups, each operative to detect light in a different range of wavelengths: One conventional type of such detectors includes a full range detection array, which is covered by a color filter layer, where each detection element is covered by a different color filter. Accordingly, some of the

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detection elements are covered with red filters, others are covered with green filters and the rest are covered with blue filters.

The present invention enhances the color resolution of systems, using such color detectors. It will be appreciated by those skilled in the art that a color segment detector of poor quality may exhibit a wavelength (color) overlap between the different detection elements. For example, when the filters are of poor quality, their filtering functions tend to overlap such that the red filter also passes a small amount of either green or blue light. Hence, the detection element behind the red filter, also detects that small amount of green or blue light, but provides an output measurement as a measurement of red light. Hence, the color detector produces an image, which includes incorrect measurements of red light (e.g. more than the actual red light, which arrived at the detector) as result of that overlap. Accordingly, received information of the inspected object is not valid.

In the present invention, the illumination unit 830 produces a sequence of non-overlapping illumination beams at predetermined wavelengths (i.e., red, blue and green). As explained above, the color detector detects an image, which includes incorrect measurements, as a result of the wavelength (color) filtering overlap. Since the illumination unit 830 and the image acquisition process are synchronized, the imaging system can process each of the acquired images, according to the actual light beam color, which was produced therewith. For example, the illumination unit 830 produces blue light illumination beam. At the same time the color detector detects an image, which also includes actual light measurements in detection elements, which are covered with green and red filters, due to the wavelength overlap. The imaging system can discard light measurements, which are received from detection elements, covered with color filters, which are not blue (e.g., red and green).

Such sequenced color illumination of the object, provides enhanced color resolution, for color image detectors of poor quality, and

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obtains the valid color images of the inspected object. System 800 can further include a stereoscopic display unit (not shown), connected to controller 834 for displaying a stereoscopic image of object 810. Reference is now made to Figure 21A, which is a schematic illustration of a timing sequence, in which controller 834 (Figure 20A) synchronizes the operation of illumination unit 830, apertures 802<sub>L</sub> and 802<sub>R</sub>, and image detector 812. Signal 840 represents the timing sequence of the left aperture 802<sub>L</sub>. Signal 842 represents the timing sequence of the blue light beam, produced by the illumination unit 830. Signal 846 represents the timing sequence of the red light beam, produced by the illumination unit 830. Signal 848 represents the timing sequence of the red light beam, produced by the illumination unit 830. Signal 841 represents the timing sequence of the image detector 812, where each image is downloaded therefrom.

Timing sequence 841 rises every time any of the rises of sequences 844, 846 and 848 intersect with a rise of either sequence 842 or sequence 840. For example, rise 841<sub>A</sub> indicates a frame download of a blue light – right aperture combination, rise 841<sub>B</sub> indicates a frame download of a green light – right aperture combination, and rise 841<sub>C</sub> indicates a frame download of a red light – right aperture combination. Similarly, rise 841<sub>D</sub> indicates a frame download of a blue light – left aperture combination, rise 841<sub>E</sub> indicates a frame download of a green light – left aperture combination and rise 841<sub>F</sub> indicates a frame download of a red light – left aperture combination.

It is noted that for some light sources, the produced light beams do not cover the full range of visible light. For such light sources, the missing color components can be reconstructed (interpolated) taking into consideration the physiological assumption, that color reflection response as a function of reflected angle, does not change much with angle.

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Reference is further made to Figure 22, which is a schematic illustration of a method for operating system 800 of Figure 20A and 20B, operative in accordance with another preferred embodiment of the present invention. In step 870, a sequence of illumination beams at predetermined wave-lengths is produced. With reference to Figures 20A and 20B, controller 834 provides a sequence of illumination commands to the illumination unit 830, which in turn produces different wavelength light beams, generally referenced 832, at predetermined points in time, towards an object, generally referenced 810.

In step 872 right and left apertures are alternated. Light rays, which reflect from various sections of the object 810, pass through the currently open aperture ( $802_R$  in Figure 20A and  $802_L$  in Figure 20B). With reference to Figures 20A and 20B, controller 834 provides a sequence of operating commands to the apertures  $802_L$  and  $802_R$ .

In step 874, a plurality of frames, each for a selected aperture and wavelength combination is detected. Controller 834 operates the image detector so as to detect a plurality of frames, each respective of a selected aperture and wavelength combination.

Light rays 822 and 824 (Figure 20A) arrive from section 810A of object 810, pass through aperture  $802_R$ , and are detected by detection element 808A, while light rays 826 and 828 arrive from section 810D, pass through aperture  $802_R$  and are detected by detection element 808D. It is noted that in the present example, an imaging element (not shown) is introduced in the vicinity of multiple aperture 804. Hence, when aperture  $802_R$  is open, then the system 800 provides a right side view of the object 810.

Light rays 827 and 825 (Figure 20B) arrive from section 810A, pass through aperture 802<sub>L</sub> and are detected by detection element 808A, while light rays 821 and 823 arrive from section 810D, pass through aperture 802<sub>L</sub> and are detected by detection element 808D. Hence, when

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aperture 802<sub>L</sub> is open, then the system 800 provides a left side view of the object 810.

With reference to Figure 21A, rise 841<sub>A</sub> provides a right side blue image (reference 806<sup>R</sup><sub>B</sub> of Figure 20A), rise 841<sub>B</sub> provides a right side green image (reference 806<sup>R</sup><sub>G</sub> of Figure 20A), and rise 841<sub>C</sub> provides a right side red image (reference 806<sup>R</sup><sub>R</sub> of Figure 20A). Similarly, rise 841<sub>D</sub> provides a left side blue image (reference 806<sup>L</sup><sub>B</sub> of Figure 20B), rise 841<sub>E</sub> provides a left side green image (reference 806<sup>L</sup><sub>G</sub> of Figure 20B), and rise 841<sub>F</sub> provides a left side red image (reference 806<sup>L</sup><sub>R</sub> of Figure 20B). With reference to Figures 20A and 20B, image detector 812 detects the plurality of frames, and provides right and left output video for image processing.

In step 876 a stereoscopic color image from the plurality of frames, according to their aperture origin is produced. With reference to Figure 20A and Figure 20B, the controller 834 stores the detected images in storage unit 836. Image processor 838 retrieves the detected images from the storage unit 836, and constructs color stereoscopic images.

Hence, the present invention provides an additional way for detecting a color stereoscopic image, using a single image detector for both sides and all colors.

Reference is further made to Figure 21B, which is a schematic illustration of another timing sequence, in which controller 834 (Figure 20A) synchronizes the operation of illumination unit 830, apertures 802<sub>L</sub> and 802<sub>R</sub>, and image detector 812. Signal 840' represents the timing sequence of the left aperture 802<sub>L</sub>. Signal 842' represents the timing sequence of the right aperture 802<sub>R</sub>. Signal 844' represents the timing sequence of the blue light beam, produced by the illumination unit 830: Signal 846' represents the timing sequence of the green light beam, produced by the illumination unit 830. Signal 848' represents the timing sequence of the red light beam, produced by the illumination unit 830.

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Signal 841' represents the timing sequence of the image detector 812, where each image is downloaded therefrom.

Timing sequence 841' rises every time any of the rises of sequences 844', 846' and 848' intersects with a rise of either sequence 842' or sequence 840'. For example, rise 841'<sub>A</sub> indicates a frame download of a blue light – right aperture combination, rise 841'<sub>B</sub> indicates a frame download of a blue light – left aperture combination and rise 841'<sub>C</sub> indicates a frame download of a green light – right aperture combination. Similarly, rise 841'<sub>D</sub> indicates a frame download of a green light – left aperture combination, rise 841'<sub>E</sub> indicates a frame download of a red light – right aperture combination and rise 841'<sub>F</sub> indicates a frame download of a blue light – left aperture combination.

Reference is now made to Figure 23, which is a schematic illustration of a timing scheme, for operating system 800 of Figures 20A and 20B, in accordance with a further preferred embodiment of the present invention. Signal 850 represents the timing sequence of the left aperture 802<sub>L</sub>. Signal 852 represents the timing sequence of the right aperture 802<sub>R</sub>. Signal 854 represents the timing sequence of the blue light beam. Signal 856 represents the timing sequence of the green light beam. Signal 858 represents the timing sequence of the red light beam. Signal 851 represents the timing sequence of the image detector 812, where each image is downloaded therefrom. As can be seen in Figure 23, the timing scheme is asymmetric, where the green light beam is activated for a time period which is twice the time period of either the red light beam or the blue light beam. Signal 851 corresponds to this arrangement and provides a green image download rise (references 851<sub>B</sub> and 851<sub>E</sub>), after a time period which is twice as long with comparison to red image download rises (references 851<sub>C</sub> and 851<sub>F</sub>) or blue image download rises (references  $851_A$  and  $851_D$ ).

Reference is now made to Figure 24, which is a schematic illustration of a timing scheme, for operating system 800 of Figures 20A and 20B, in accordance with another preferred embodiment of the present invention. Signal 860 represents the timing sequence of the left aperture 802<sub>L</sub>. Signal 862 represents the timing sequence of the right aperture 802<sub>R</sub>. Signal 864 represents the timing sequence of the magenta light beam. Signal 866 represents the timing sequence of the yellow light beam. Signal 868 represents the timing sequence of the cyan light beam. As can be seen in Figure 24, the timing scheme addresses an alternate wavelength scheme and is also asymmetric.

It is noted that a mechanical multi-wavelength illumination unit such as described in the prior art, can be used for implementing the present invention. However, such a system significantly reduces the capability of the user to control illumination duration, wavelength ratio and detection timing, such as described herein above.

It will be appreciated by persons skilled in the art that the present invention is not limited to what has been particularly shown and described here in above. Rather the scope of the present invention is defined only by the claims which follow.

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# CLAIMS

1. Stereoscopic device comprising:

at least two apertures, each said apertures including a light valve, each said light valves being operative to open at a different predetermined timing;

a multi wavelength light sensor array, and

a controllable multi wavelength illumination unit, producing at least two alternating beams of light, each said beams of light characterized as being in a different range of wavelengths.

wherein said multi wavelength light sensor array detects a plurality of images, each said images corresponding to a predetermined combination of an open state of a selected one of said light valves and a selected one of said at least two alternating beams of light.

- The stereoscopic device according to claim 1, wherein said multi wavelength light sensor array includes at least two groups of sensors, wherein the sensors of each said group detect light in a different range of wavelengths.
- 3. The stereoscopic device according to claim 1, wherein said multi-wavelength light sensor array includes a plurality of sensors, each said sensors detecting light in a predetermined range of wavelengths.
- The stereoscopic device according to claim 1, wherein said controllable multi wavelength illumination unit surrounds said apertures.

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- 5. The stereoscopic device according to claim 1, wherein said controllable multi wavelength illumination unit directs light aside from said apertures.
- 6. The stereoscopic device according to claim 1, wherein said controllable multi wavelength illumination unit comprises:

a multi wavelength light source;

a light dispersing unit; and

light guiding means connected between said multi wavelength light source and said light dispersing unit, thereby guiding light from said multi wavelength light source to said light dispersing unit.

- The stereoscopic device according to claim 6, wherein said light dispersing unit surrounds said apertures.
  - 8. The stereoscopic device according to claim 1, wherein said light illuminating unit produces at least two alternating beams of light, each said beams of light characterized as being in a different range of wavelengths.
  - 9. The stereoscopic device according to claim 1, further comprising a controller connected to said light valves, said multi wavelength light sensor array and to said controllable multi wavelength illumination unit, said controller timing the operation of said light valves, said multi wavelength light sensor array and said controllable multi wavelength illumination unit.

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- 10. The stereoscopic device according to claim 1, further comprising capture means, connected to said multi wavelength light sensor array, for capturing data received from said multi wavelength light sensor array.
- 11. The stereoscopic device according to claim 10, further comprising a storage unit for storing said captured data.
- 12. The stereoscopic device according to claim 9, further comprising a stereoscopic display unit, connected to said controller, for producing said images.
  - 13. The stereoscopic device according to claim 1, wherein each said different ranges of wavelengths associated with said multi wavelength illumination unit, is selected from the list consisting of:

substantially visible red color light;
substantially visible green color light;
substantially visible blue color light;
substantially visible cyan color light;
substantially visible yellow color light;
substantially visible magenta color light;
substantially infra-red light;
substantially ultra-violet light; and
visible light.

14. The stereoscopic device according to claim 2, wherein each said different ranges of wavelengths, associated with said sensors, is selected from the list consisting of:

substantially visible red color light; substantially visible green color light;

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substantially visible blue color light; substantially visible cyan color light; substantially visible yellow color light; substantially visible magenta color light; substantially infra-red light; substantially ultra-violet light; and visible light.

- 15. The stereoscopic device according to claim 1, wherein said multiwavelength light sensor array is a color red-green-blue (RGB) sensor array.
  - 16. The stereoscopic device according to claim 1, wherein said multiwavelength light sensor array is a color cyan-yellow-magenta-green (CYMG) sensor array.
  - 17. The stereoscopic device according to claim 1, further comprising an image processing system connected to said multi wavelength light sensor array and to said controllable multi wavelength illumination unit.
  - 18. The stereoscopic device according to claim 1, further comprising a motion detector detecting the movement of said multi wavelength light sensor array and said apertures.
  - 19. The stereoscopic device according to claim 18, further comprising an image processing system connected to said multi wavelength light sensor array, said controllable multi wavelength illumination unit, said apertures and said motion detector.

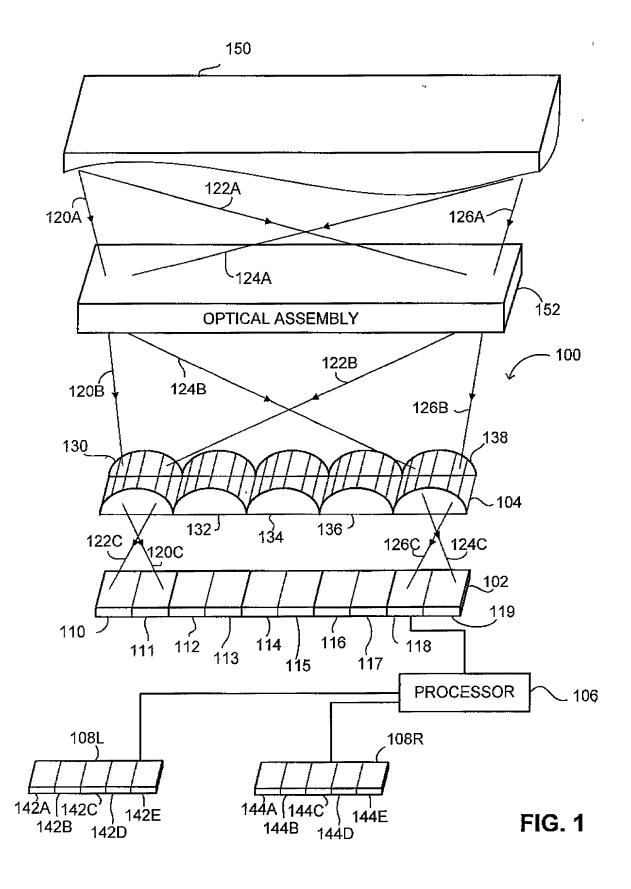
- 20. Method for detecting a stereoscopic image comprising the steps of:
  alternating between at least two apertures, directed at an object;
  producing a sequence of at least two illumination beams, at different
  ranges of wavelengths; and
  detecting a plurality of frames, each for a combination including a
  selected one of said apertures and at least a selected one of
- 10 21. The method according to claim 20, further comprising the step of reconstructing a stereoscopic image from said frames.

said beams.

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# **ABSTRACT**

Stereoscopic device including at least two apertures, each including a light valve, a multi wavelength light sensor array and a controllable multi wavelength illumination unit, producing at least two alternating beams of light, each of the beams of light is characterized as being in a different range of wavelengths, wherein each light valve is operative to open at a different predetermined timing and wherein the multi wavelength light sensor array detects a plurality of images, each of the images corresponding to a predetermined combination of an open state of a selected one of the light valves and a selected one of the modes.



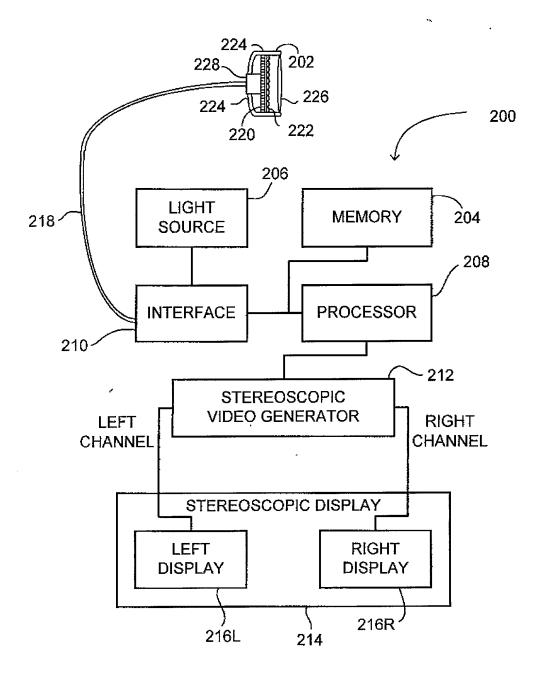


FIG. 2

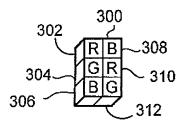


FIG. 3A

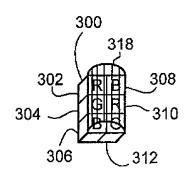
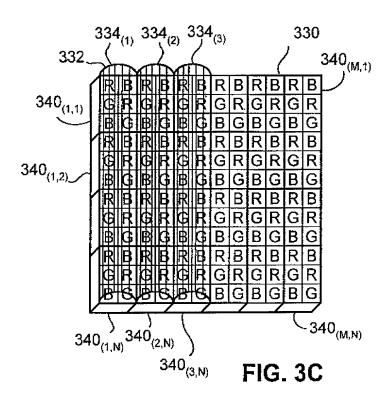


FIG. 3B



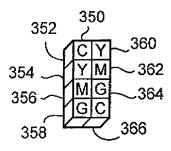


FIG. 4

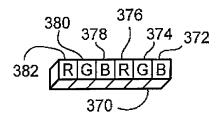


FIG. 5A

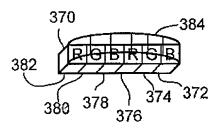


FIG. 5B

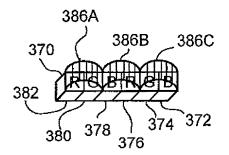


FIG. 5C

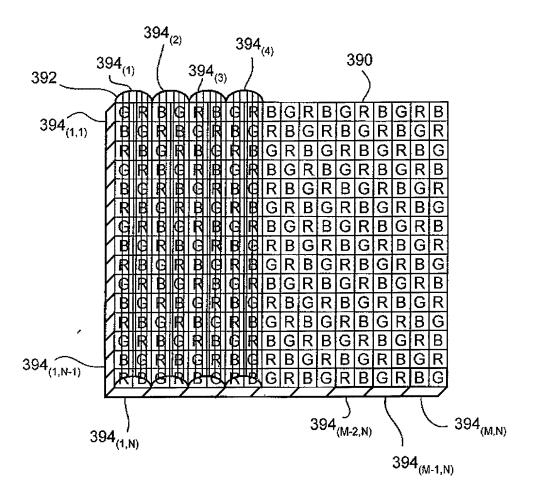


FIG. 6

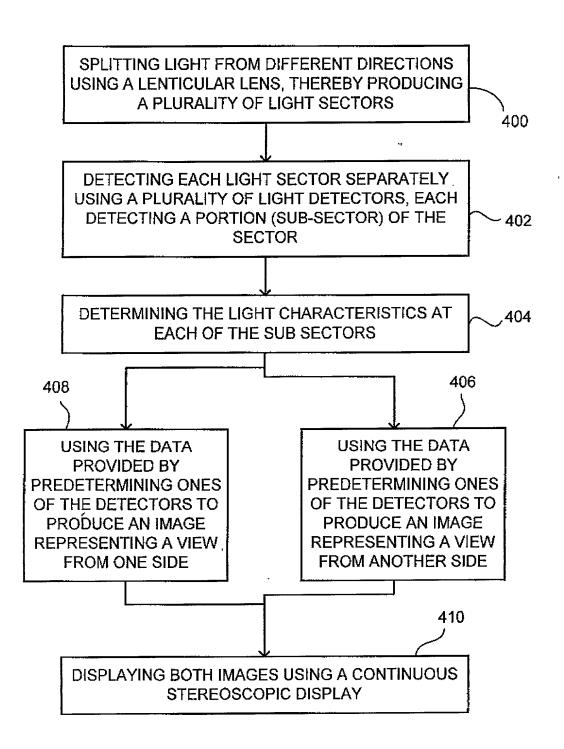


FIG. 7A

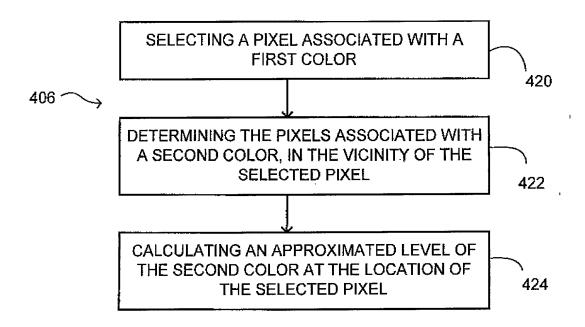


FIG. 7B

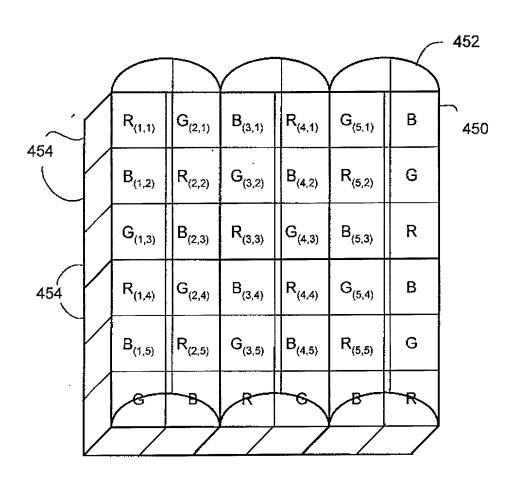
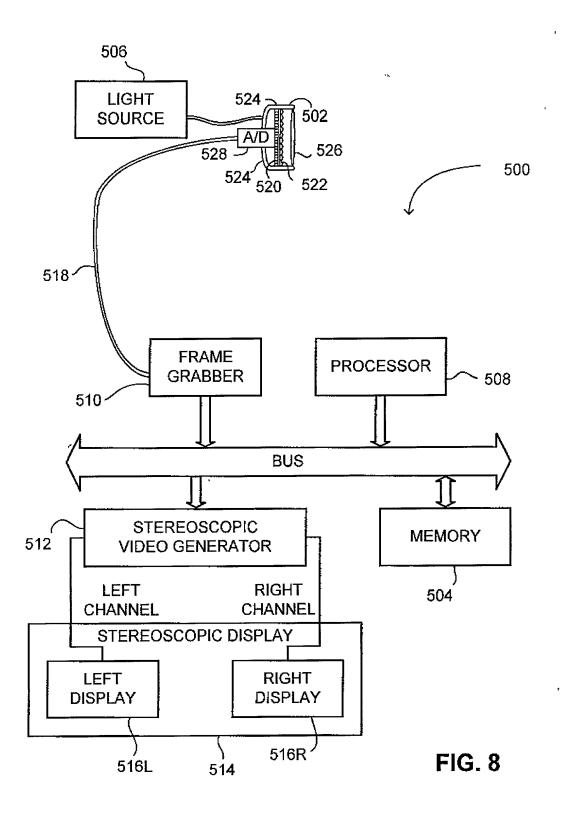


FIG. 7C



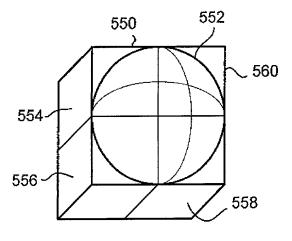


FIG. 9A

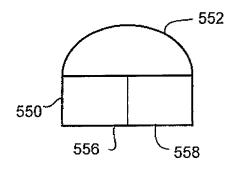


FIG. 9B

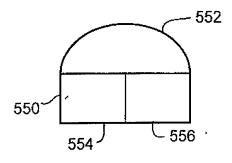


FIG. 9C

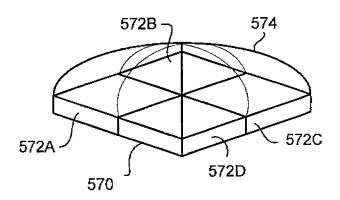


FIG. 10

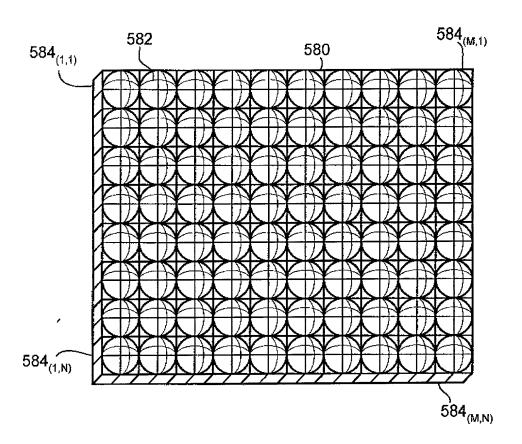
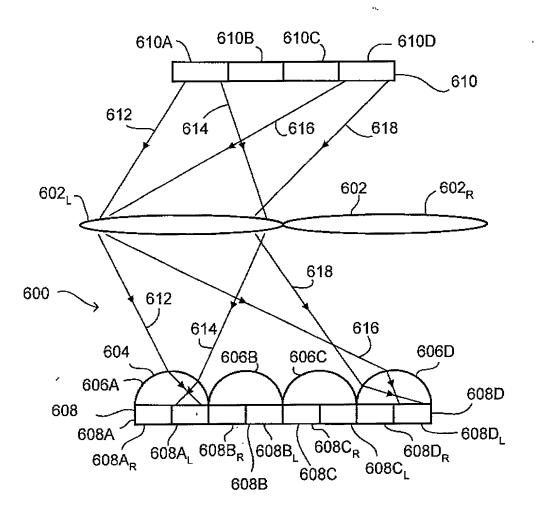


FIG. 11



**FIG. 12A** 

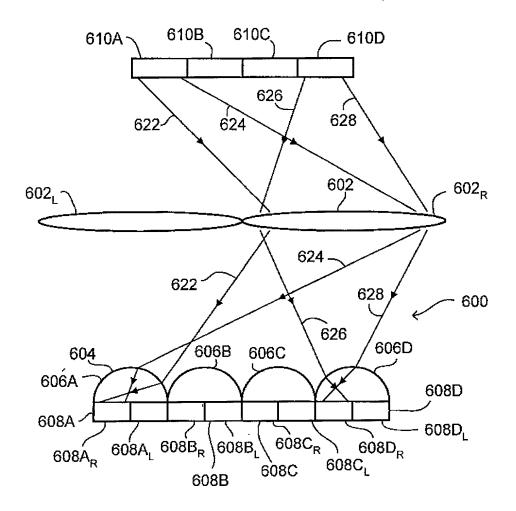


FIG. 12B

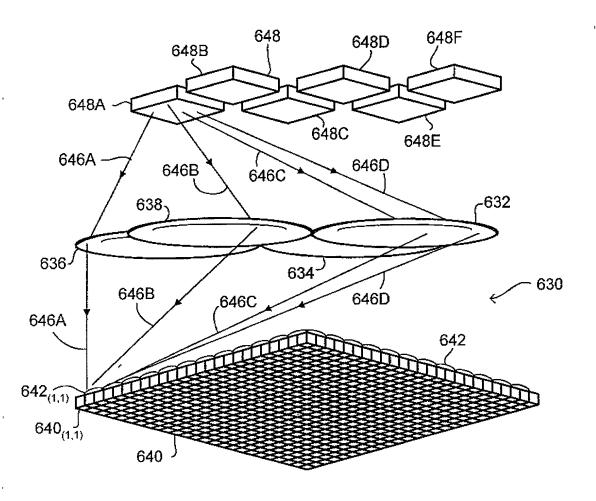


FIG. 13

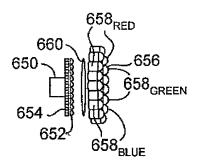


FIG. 14A

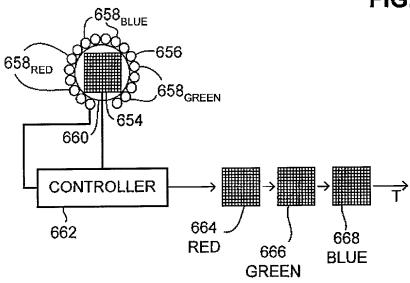


FIG. 14B

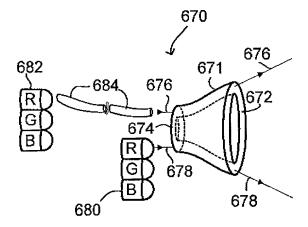
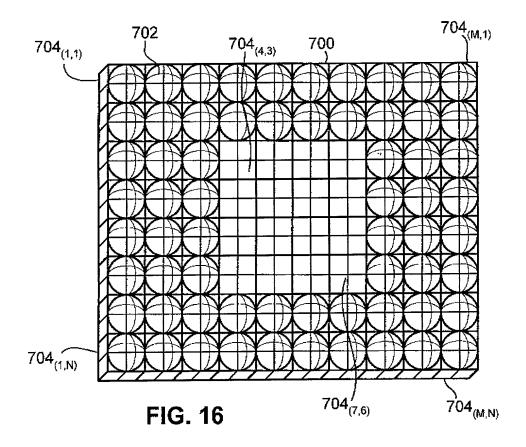


FIG. 15



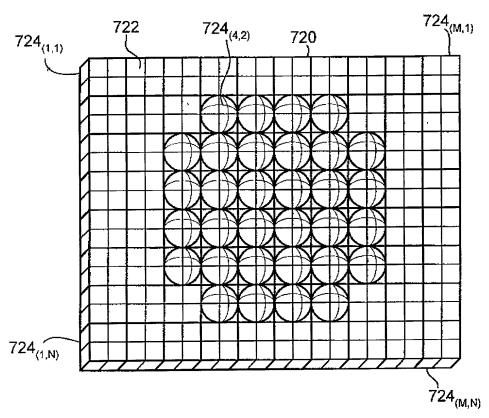


FIG. 17

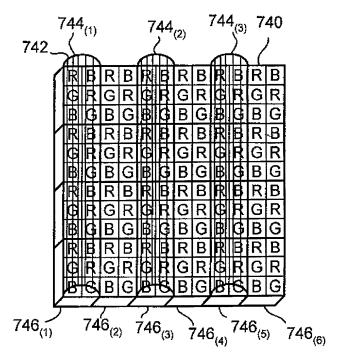


FIG. 18

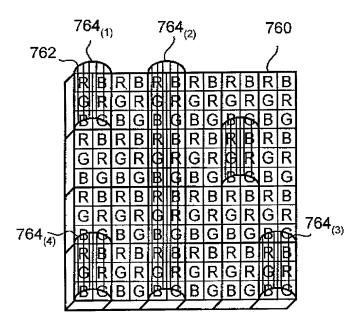
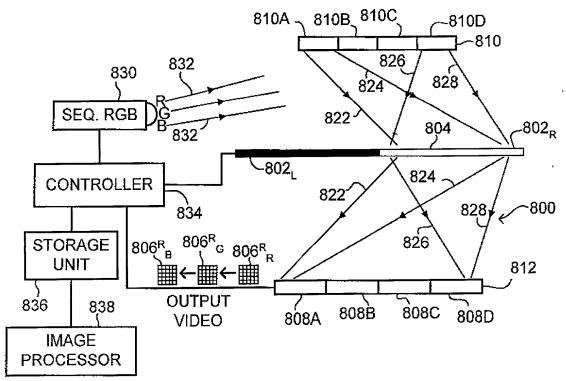
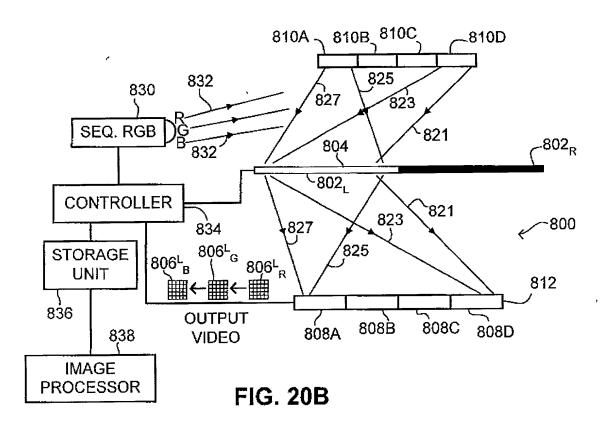
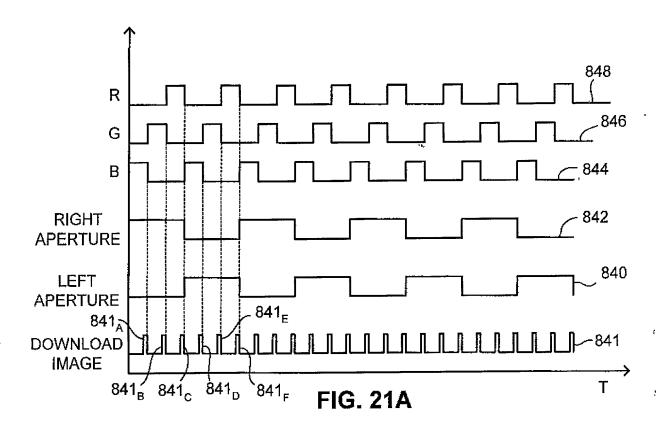


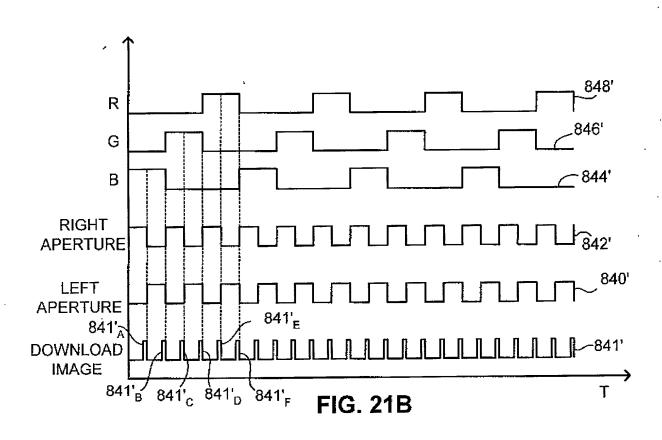
FIG. 19



**FIG. 20A** 







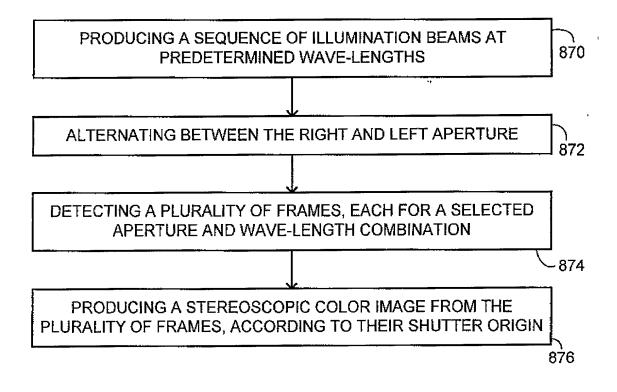
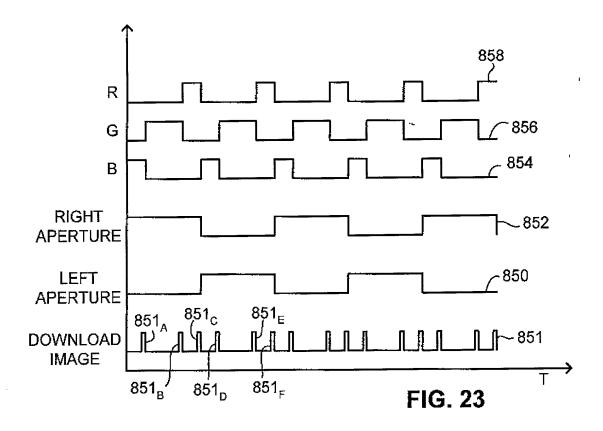
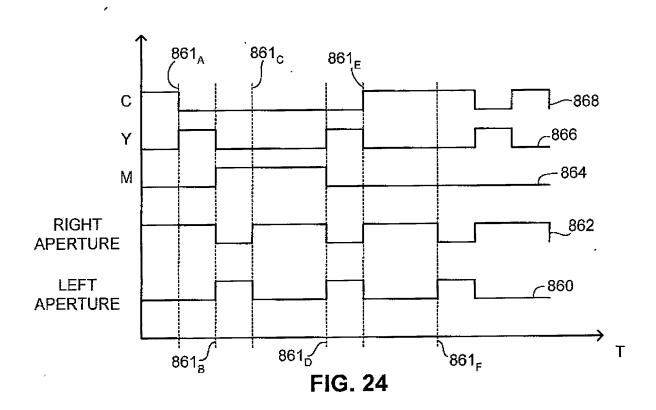


FIG. 22





### MERCHANT, GOULD, SMITH, EDELL, WELTER & SCHMIDT

#### United States Patent Application

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my

name; that I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: OPTICAL DEVICE The specification of which a. Ex is attached hereto (if applicable) (in the case of b. was filed on \_\_\_\_\_ as application serial no.\_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable) (in the call a PCT-filed application) described and claimed in international no. \_\_\_\_\_ filed \_\_\_\_\_ and as amended on \_\_\_\_\_ (if any) which I have reviewed and for which I solicit a United States patent. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto). I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed: a. In no such applications have been filed. b.  $\square$  such applications have been filed as follows: FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 APPLICATION NUMBER DATE OF ISSUE DATE OF FILING COUNTRY (day, month, year) (day, month, year) ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) DATE OF ISSUE DATE OF FILING COUNTRY APPLICATION NUMBER (day, month, year) (day, month, year)

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I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUNIBER	DATE OF FILING (day, month, year)	STATUS (patented pending abandoned)
09/257,850	25/02/1999 (25 February 1999)	Pending

I hereby claim the benefit under Title 3 5, United States Code § I 19(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark office connected herewith:

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Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below:

Merchant, Gould, Smith, Edell, Welter & Schmidt 3200 IDS Center 80 South 8th Street Minneapolis, Minnesota 55402 I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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### § 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remainin under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by \$\frac{1}{2}\$ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facic case of unpatentability of a claim;

or

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- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument or patentability.

A prima facic case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignce or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.